

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(MBHB Case No. 01-1693-N)

In re Application of:

Fang et al.

Serial No.: 09/896,874

Commissioner for Patents Washington, D.C. 20231

Filed:

June 29, 2001

For:

COMPOUNDS TO TREAT

ALZHEIMER'S DISEASE

Group Art Unit: \$\oz\

Examiner: Shailendra Kumar

TRANSMITTAL LETTER

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ion:

In regard to the above-identified patent application:

We are transmitting herewith the attached:

a) Transmittal Letter in Duplicate;

- b) Supplemental Information Disclosure Statement
- c) PTO Form 1449; and
- d) Six Cited References.
- GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayments to the Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 3. CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service, with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 13, 2002.

Dated: December 13, 2002

Steven J. Sargssi Reg. No. 32,784

Respectfully submitted,



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Fang et al.

Serial No.: 09/896,874

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June 29, 2001

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COMPOUNDS TO TREAT

ALZHEIMER'S DISEASE

Group Art Unit: 162

Examiner: Shailendra Kumar

### TRANSMITTAL LETTER

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Serial No.:	09/896,874	)	Group Art Unit: 162
Filed:	June 29, 2001	) )	Examiner: Shailendra Kumar
For:	COMPOUNDS TO TREAT ALZHEIMER'S DISEASE	) ) )	

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

CIVED

Commissioner for Patents Washington, D.C. 20231

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Dear Sir:

This prior art statement is filed under 37 C.F.R. §§1.97-1.98 in compliance with the duty of disclosure set forth in 37 C.F.R. §1.56.

In the judgment of the undersigned, the references listed on the attached Form PTO-1449 may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative relevance between references, whether cited in this statement or prior statements. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. § 102.

- 1. PCT Application No. WO 99/65870, published December 23, 1999
- 2. PCT Application No. WO 96/22287, published July 25, 1996
- 3. European Patent No. 0,432,694, published June 19, 1991
- 4. Chevallier N. et al., Cathepsin D displays in vitro ß-secretase-like specificity, Brain Research 750 (1997), pages 11-19

5. Kick E.K. et al., Structure-based design and combinatorial chemistry yield low

nanomolar inhibitors of cathepsin D, Chemistry and Biology, April 1997, 4:297-

307U.S. Patent No. 5,583,238 issued December 10, 1996

6. Ng J.S. et al., A practical synthesis of an HIV protease inhibitor intermediate -

Diastereoselective epoxide formation from chiral a-aminoaldehydes, Tetrahedron

Vol 51, No 23, pages 6397-6410, 1995

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each

document cited (including any cited in applicant's specification which is not repeated on

the attached Form PTO-1449) be given thorough consideration and that it be cited of

record in the prosecution history of the present application by initialing on Form PTO-

1449. Such initialing is requested even if the Examiner does not consider a cited

document to be sufficiently pertinent to use in a rejection, or otherwise does not consider

it to be prior art for any reason, or even if the Examiner does not believe that the

guidelines for citation have been fully complied with. This is requested so that each

document becomes listed on the face of the patent issuing on the present application.

Respectfully submitted,

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Dated: December 13, 2002

Steven J. Sarussi Reg. No. 32,784

Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office
	MATION DISCLOSURE MENT BY APPLICANT

TRADEM

Atty. Docket No.	Serial No. 09/896,874		
01-1693-N	03/000,01		
Applicant: Fang, et al.			
Filing Date: June 29, 2001	Group: 1621		

### FOREIGN PATENT DOCUMENTS

Examiner Initial	No.	Document Number	Date	Country	Class	Subclass Translation Yes F. No
- IIIIIai	1.	WO 99/65870	12/23/99	PCT		TOTAL 1 TO 2000
ļ	2	WO 96/22287	7/25/96	PCT		DEC 1 7 2002
	3.	EP 0, 432, 694	6/19/91	Europe		TECH CENTER 1600/2900

OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

OTHER DOCUMENTS - Including Addition, Third,			
Examiner Initial	No.	to the Drain	
N <sub>ext</sub>	4.	Chevallier N. et al., Cathepsin D displays in vitro ß-secretase-like specificity, Brain Research 750 (1997), pages 11-19	
) (1) (1) (1) (1) (1) (1) (1) (1) (1) (1	5.	Kick E.K. et al., Structure-based design and combinatorial chemistry yield low nanomolar inhibitors of cathepsin D, Chemistry and Biology, April 1997, 4:297-307	
./	6.	Ng J.S. et al., A practical synthesis of an HIV protease inhibitor intermediate — Diastereoselective epoxide formation from chiral a-aminoaldehydes, Tetrahedron Vol 51, No 23, pages 6397-6410, 1995	
		Vol 51, No 23, pages 6397-6410, 1335	